



The MWA Compliance Checklist for Nondeposit Investment Sales ©

“The failure of a depository institution to establish and observe appropriate policies and procedures consistent with the Interagency Statement in connection with sales activities involving nondeposit investment products will be subject to criticism and appropriate corrective action.” – *The Interagency Statement*

The MWA Compliance Checklist for Nondeposit Investment Sales © was created to help federally chartered or insured depository institutions and their vendors successfully integrate regulatory mandates into their nondeposit investment sales programs.

The MWA Compliance Checklist for Nondeposit Investment Sales © compresses the compliance guidelines of the “Interagency Statement on Retail Sales of Nondeposit Investment Products” and regulators’ examination guidelines and procedures into one organized, intelligible and readable form that provides a systematic method for evaluating the compliance-adequacy of nondeposit investment programs.

ORDER FORM THE MWA COMPLIANCE CHECKLIST FOR NONDEPOSIT INVESTMENT SALES ©

QTY.		PRICE PER CHECKLIST	EXT. PRICE
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	2 – 5	\$265.00 <i>each</i>	
	6 – 24	\$255.00 <i>each</i>	
	25 +	\$235.00 <i>each</i>	

SHIPPING & HANDLING CHARGES ALREADY INCLUDED IN PRICE OF CHECKLISTS

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